

Seminario sobre Planificación y D.M.A.

Los sistemas de apoyo a la decisión en la planificación y gestión

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www.upv.es/iiama/

www.upv.es/aquatool/



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Valencia
November 2003

- W.F.D. and Spanish Water Law ►
- Need for Decision Support Systems (DSS) for WRPM ►
- Aquatool DSS building framework ►
 - Use for planning ►
 - Use for Real time management (medium term) and Risk estimation. ►
- Conclusions & Recommendations ►



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WFD and Spanish Water Laws



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UCD-DCEE 1

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EC WATER FRAMEWORK DIRECTIVE

● Society demands:

- Safe supply of water for drinking**
- & Other socio-economic activities**
- Alleviation of adverse impacts on floods and droughts**
- Protection of environment:**



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UCD-DCEE 2

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EC WATER FRAMEWORK DIRECTIVE

- **Objective: Good status of all inland surface and groundwater and estuarine and coastal waters:**
 - Ecological status of surface waters
 - Quantitative status of groundwater
 - Chemical status of all waters



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UCD-DCEE 3

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Spanish Water Law

- general objectives of the planning process:
 - to reach a **good ecological status** of inland waters
 - satisfy the water demands
 - equilibrated development for sectors and regions.
- All this, by means of :
 - water quality protection
 - rational development of the resource
 - rational use in harmony with environment and other natural resources.



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Multi-objective Water Conflicts: need for DSS



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MULTIPLE OBJECTIVES

- **Process to take good decisions needs ADEQUATE INFORMATION:**
 - feasible alternatives
 - impact on the multiple objectives
 - tradeoffs among them
 - risk associated with them
- **In order to elaborate and analyze such information, sound science, technology, and expertise have to be implicated:**



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MULTIPLE OBJECTIVES

- **policymakers and stakeholders are not prepared to produce and understand such information**
- **transfer of technology from scientist to decision makers is needed**
- **effective transfer:**
 - decisions makers be able to apply the technology easily and in a repeatable and scientifically defensible manner
- **Not an easy task:**
 - **Many aspects** (e.g. physical, hydrological, chemical, biological, socioeconomic, institutional, legal, etc.)
 - **all are expected to be integrated in the analysis**



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INTEGRATED PLANNING & MANAGEMENT

- In modern w.r. development **all sources and aspects have to be considered in an integrated way**, including the
- **Conjunctive Use of Surface and Ground Water:**
 - joint planning & management of surface and groundwater resources for the purpose of ensuring that the total benefits of such a system exceed the sum of the benefits that would result from separated management.



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TOOLS FOR INTEGRATED RIVER BASIN MANAGEMENT

- Models (traditional)
- Additional effort to make them available to Decision Makers and stakeholders (& public):
 - Better and more user friendly
 - Include most components of extremely complex WS
 - estimate the effect of management alternatives on all the criteria of interest



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Take into account:

- ◆ UNCERTAINTIES
 - ★ CLASSICAL
 - USES
 - DEMANDS
 - RESOURCES,
 - ★ OTHER
 - CLIMATIC CHANGE
 - SOCIETY,

Evaluate:

- ◆ RISK inherent to our decisions



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DECISION SUPPORT SYSTEMS

- Suites of computer programs including:
 - design facilities
 - databases handling
 - simulation and/or optimization models
 - capabilities for analyzing and displaying the results
- in a unique and user friendly control framework



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DECISION SUPPORT SYSTEMS

- Many examples in the literature
- BUT:

“Although this idea (DSS) was a promising one, preciously few of these systems have come to fruition and are actually used on a regular basis”
(Rietma et alt., 1996)

- Some applications of DSS in Spain for WRS P&M, and a generalized DSS framework



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MOWC-DSS 10

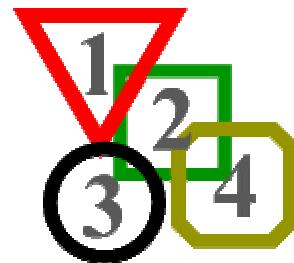
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AQUATOOL:

**DSS designed for integrated
management of complex water
resource systems including
CONJUNCTIVE USE OF SURFACE
AND GROUND WATERS**



J. Andreu, J. Capilla, y E. Sanchis, "Generalized decision support system for water resources planning and management including conjunctive water use", *Journal of Hydrology*, Vol. 177, pp. 269-291, 1996.



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AQUATOOL-Main -1

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Components and functions:

- basin **management simulation** module
- basin **management optimization** module
- **aquifer flow modeling** module
- stochastic hydrological modeling module
- future hydrological scenarios **generation module**
- modules for **risk assessment**
- modules for **analysis and report** of results
- first level control units including:
 - ◆ **graphical design**
 - ◆ **database management**
 - ◆ control the execution of the models
 - ◆ access to the modules for analysis and report of results
- Microsoft Windows Environment (zero level control unit):
 - ◆ coordinates the various components of the system
 - ◆ interfaces with users, files and peripheral hardware



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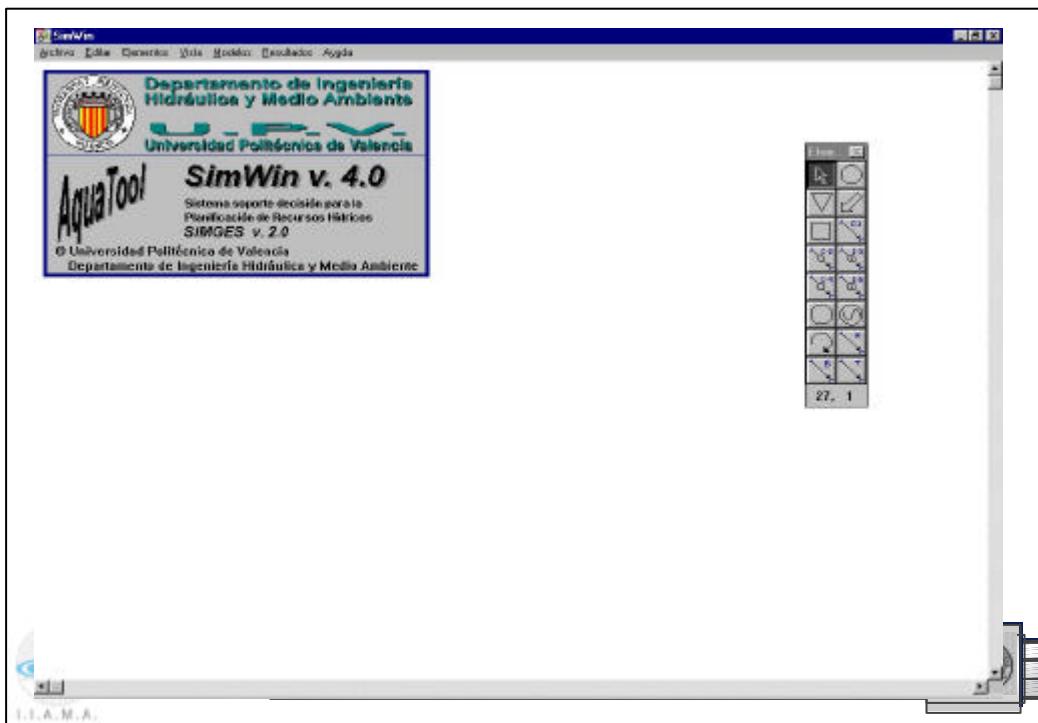
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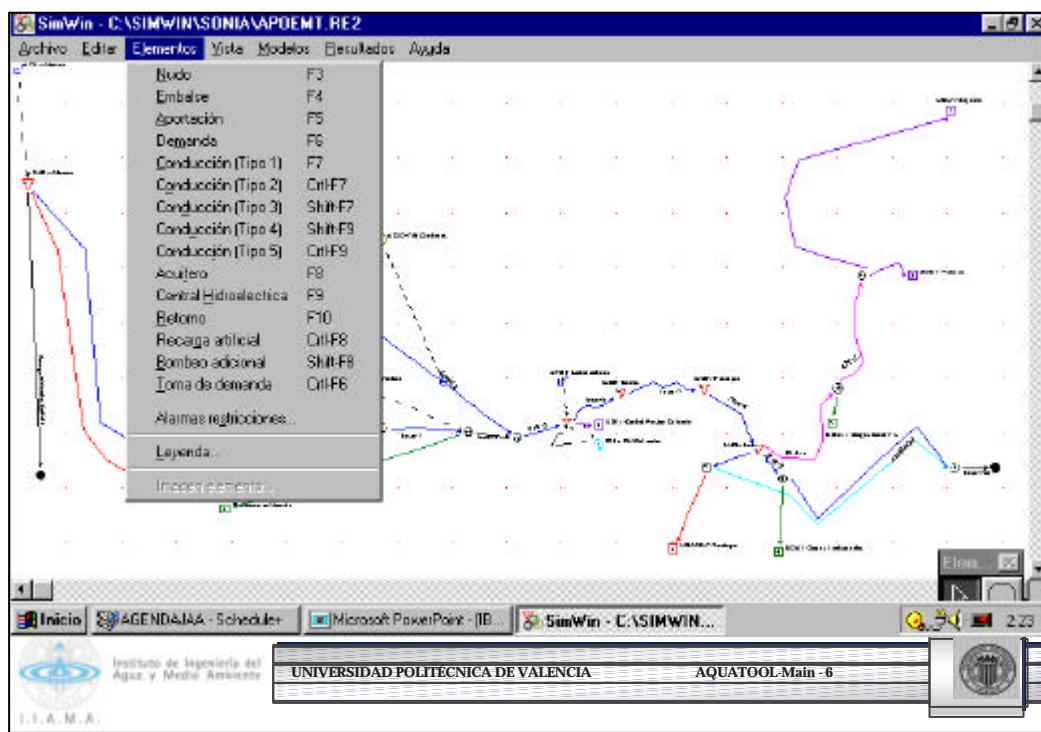
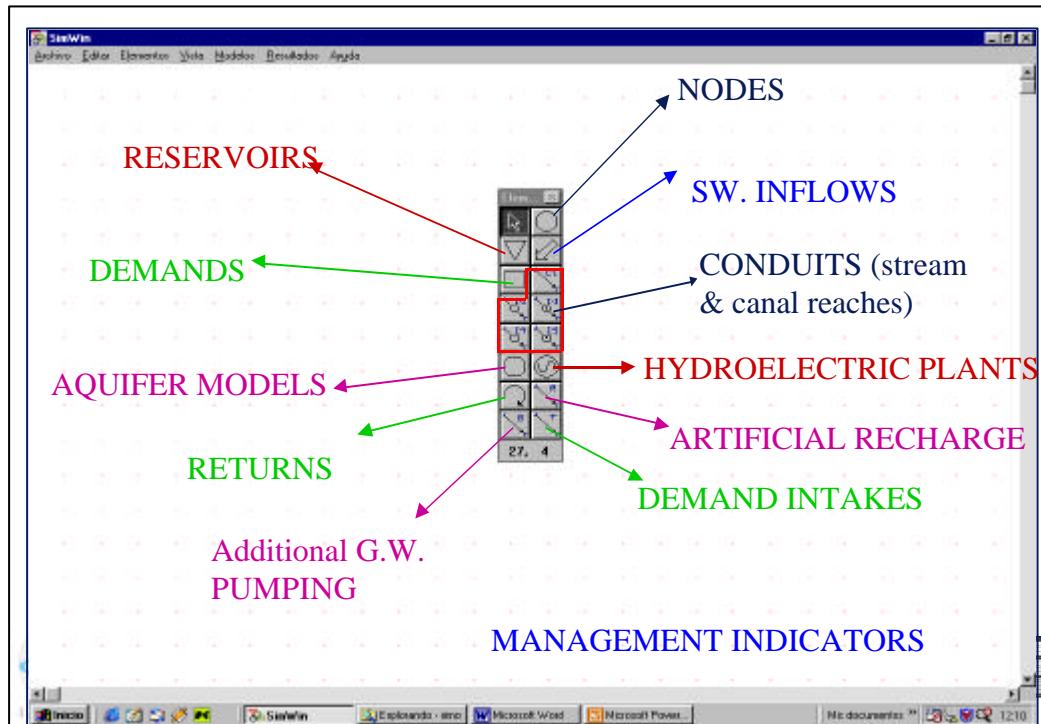
AQUATOOL-Main -2

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- ◆ The DSS allows the user to:
 - * **Input and modify the spatial data of a water resource system**





◆ The DSS allows the user to:

- ✿ Input and modify the space configuration of a water resource system
- ✿ **Edit and manage geo-referenced data bases containing physical characteristics, management characteristics**



RESERVOIRS

Cata	Sup (Ha)	Volumen (Hm ³)	Evaporación media (mm/mes)
0.000	0.000	0.000	Oct 0.000
0.000	0.000	0.000	Nov 0.000
0.000	0.000	0.000	Dic 0.000
0.000	0.000	0.000	Ene 0.000
0.000	0.000	0.000	Feb 0.000
0.000	0.000	0.000	Mar 0.000

PHYSICAL CHARACTERISTICS

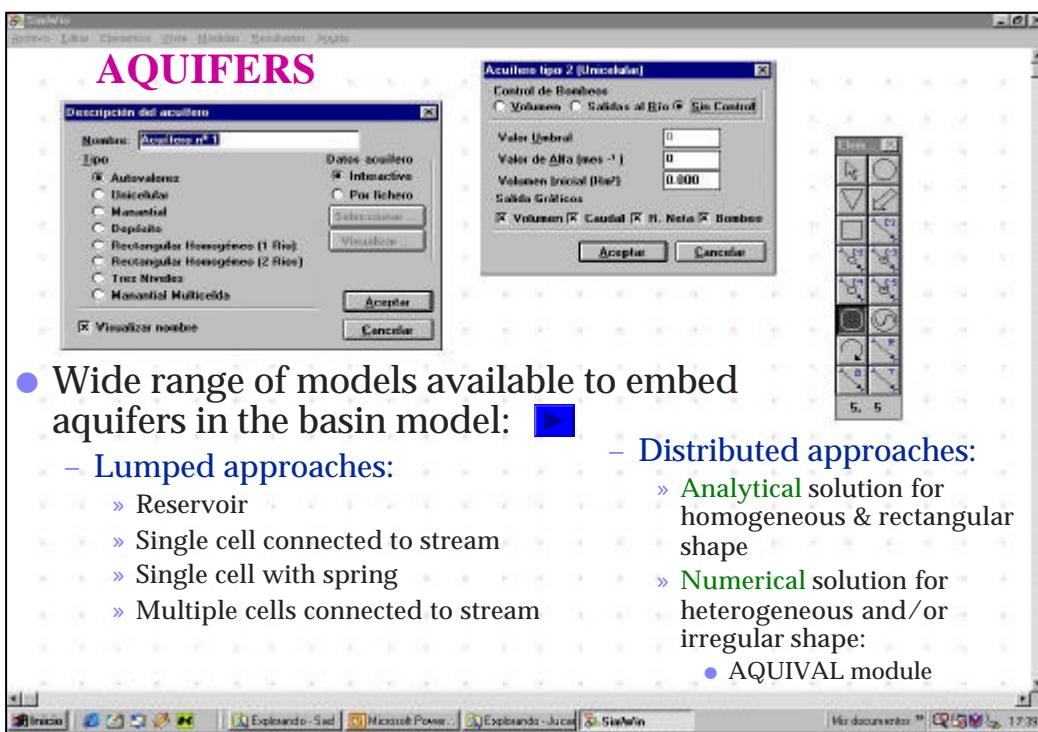
FILTRATION (INTO AN AQUIFER) AND EVAPORATION LOSSES

OPERATING RULES

Windows taskbar at the bottom showing icons for Internet Explorer, Microsoft Word, Microsoft Powerpoint, Microsoft Excel, and System tray.

SURFACE-GROUND WATER INTERACTIONS

- Pumping from demands
- Additional pumping (drought wells)
- Filtration from streams, canals, reservoirs, irrigation, ...
- Hydraulic connection
- Artificial recharge

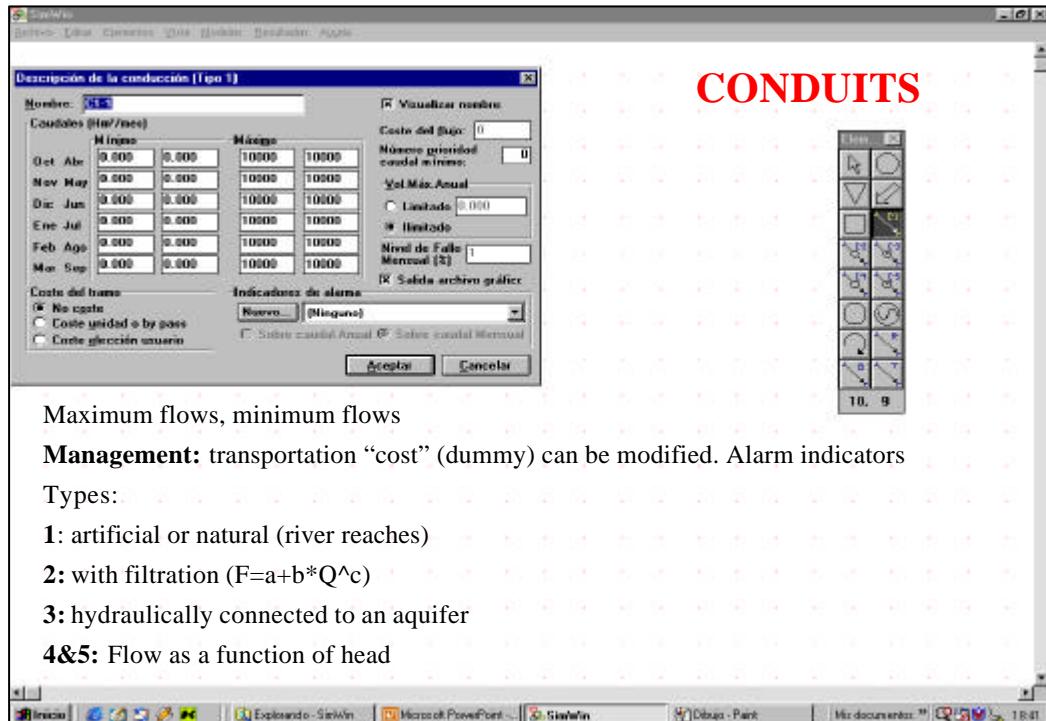


- Wide range of models available to embed aquifers in the basin model:

- Lumped approaches:
 - » Reservoir
 - » Single cell connected to stream
 - » Single cell with spring
 - » Multiple cells connected to stream

- Distributed approaches:

- » Analytical solution for homogeneous & rectangular shape
 - » Numerical solution for heterogeneous and/or irregular shape:
 - AQUIVAL module



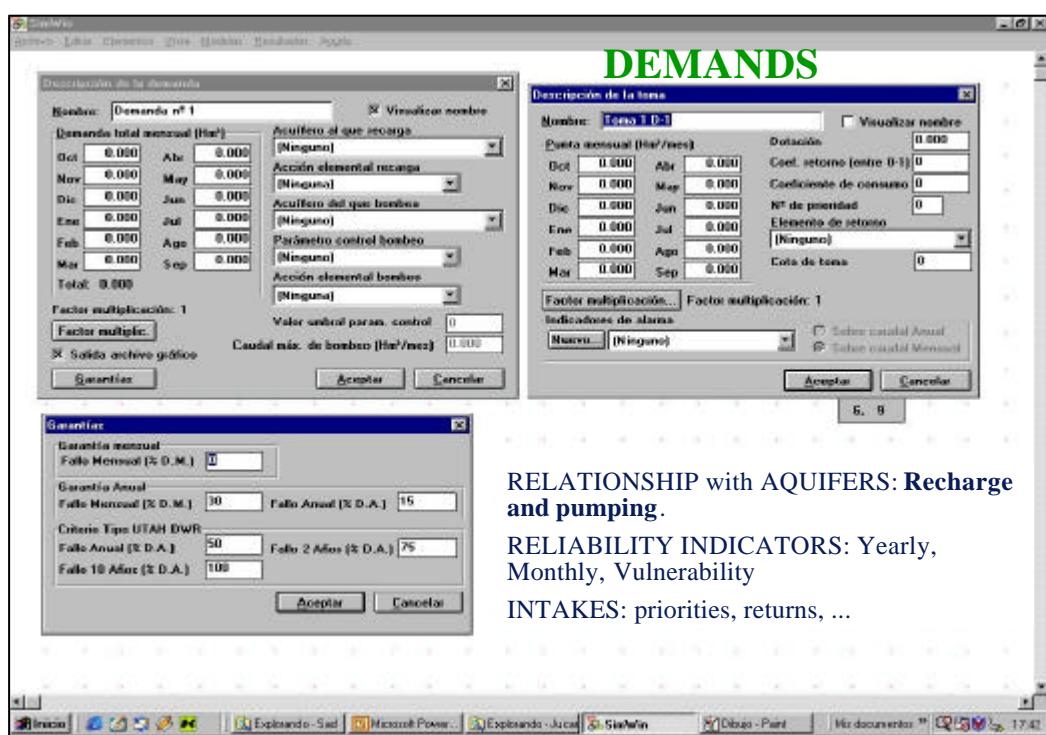
Maximum flows, minimum flows

Management: transportation “cost” (dummy) can be modified. Alarm indicators

Types:

- 1: artificial or natural (river reaches)
- 2: with filtration ($F=a+b*Q^c$)
- 3: hydraulically connected to an aquifer
- 4&5: Flow as a function of head

CONDUITS



DEMANDS

Nombre: Toma 1 D-1		<input type="checkbox"/> Visualizar nombre
Puesta mensual (lit/mes)		Dotación: 0.000
Dot	0.000	Abr: 0.000
Nov	0.000	May: 0.000
Dic	0.000	Jun: 0.000
Ene	0.000	Jul: 0.000
Feb	0.000	Ago: 0.000
Mar	0.000	Sep: 0.000
Total:	0.000	
Factor multiplicación: 1		
Factor multiplic.		
<input type="checkbox"/> Salida archivo gráfico		
Guardiar		Aceptar Cancelar

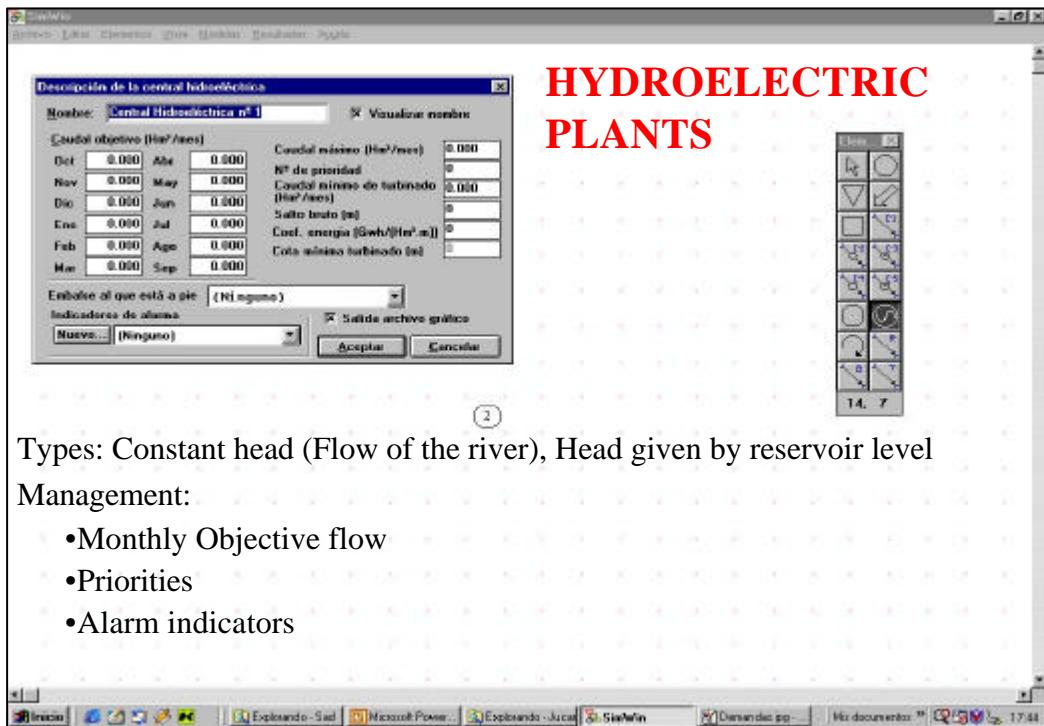
Nombre: Demanda n° 1		<input type="checkbox"/> Visualizar nombre
Demanda total mensual (lit/mes)		
Oct	0.000	Abr: 0.000
Nov	0.000	May: 0.000
Dic	0.000	Jun: 0.000
Ene	0.000	Jul: 0.000
Feb	0.000	Ago: 0.000
Mar	0.000	Sep: 0.000
Total:	0.000	
Factor multiplicación: 1		
Factor multiplic.		
<input type="checkbox"/> Salida archivo gráfico		
Guardiar		Aceptar Cancelar

Nombre: Toma 1 D-1		<input type="checkbox"/> Visualizar nombre
Puesta mensual (lit/mes)		Dotación: 0.000
Dot	0.000	Abr: 0.000
Nov	0.000	May: 0.000
Dic	0.000	Jun: 0.000
Ene	0.000	Jul: 0.000
Feb	0.000	Ago: 0.000
Mar	0.000	Sep: 0.000
Factor multiplicación... Factor multiplicación: 1		
Indicadores de alarma		
Nuevo: (Ninguno)		<input type="checkbox"/> Salir caudal Anual <input checked="" type="checkbox"/> Salir caudal Mensual
Guardiar		Aceptar Cancelar

RELATIONSHIP with AQUIFERS: Recharge and pumping.

RELIABILITY INDICATORS: Yearly, Monthly, Vulnerability

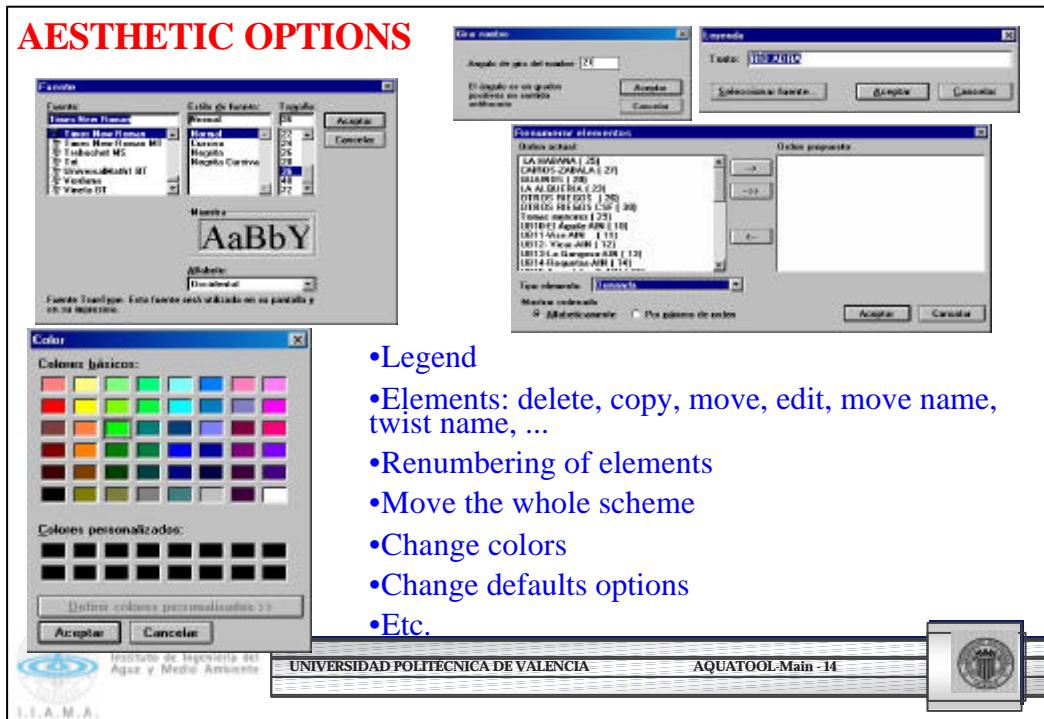
INTAKES: priorities, returns, ...



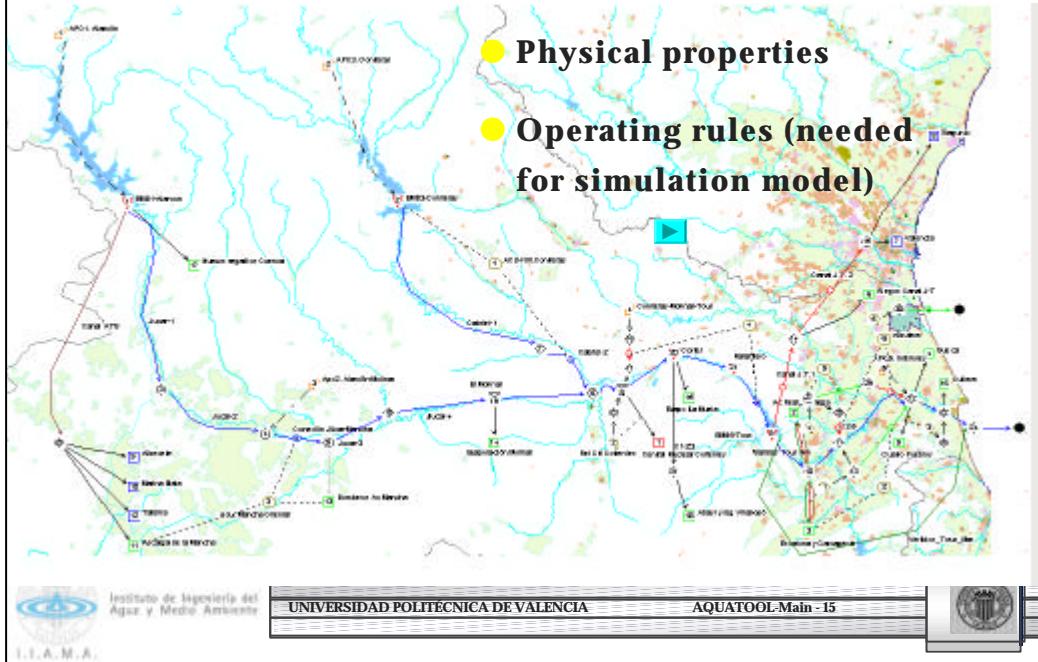
Types: Constant head (Flow of the river), Head given by reservoir level

Management:

- Monthly Objective flow
- Priorities
- Alarm indicators



● **Integrated Basin model: Jucar Basin**



◆ **The DSS allows the user to:**

- ✿ Input and modify the space configuration of a water resource system
- ✿ Edit and manage geo-referenced data bases containing physical characteristics, management characteristics
- ✿ **Simulate or optimize the management for a single hydrological inflows scenario, (historical, synthetic) or for multiple scenarios.**
- ✿ **Obtain written reports**
- ✿ **Obtain graphs with time series and mean values of the results**



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AQUATOOL-Main - 16



SIMULATION

for given hydrologic inflows scenarios

The screenshot shows the SIMGES software interface. On the left, a dialog box titled "Datos para Iniciar el Ciclo" lists various parameters such as "Filtros", "Base fluvio: río Ebro", "Base operaciones: Región azul", "Código: código del", "Base de datos: tabla eco", "Tablas maestras: tabla dño", "Base reservas: tabla res", "Base: tabla gra", "Base balance: tabla bal", "Base operaciones base: tabla obj", and "Parámetros Efímeros". Below this are "Siguiente" and "Cancelar" buttons. To the right, there are two smaller windows: one titled "Datos generales SIMGES" showing "Título 1: RÍO Ebro - Ebro" and "Título 2: DIAZ, EMAUS 08.5", and another titled "SIMGES" with "AQUATOOL-Main - 17" at the bottom. A large map of the Ebro river system is visible in the background.

INTERNAL PROCESS:

In every month, a network flow optimization algorithm (Out-of-kilter) finds a flow solution which is compatible with the physical restrictions, and tries to minimize weighted deviations from operating rules (Target supplies, flows, and reservoir storage); respecting priorities.

Iteration is needed to take into account non-linearity and surface-groundwater relationships.

AQUATOOL-Main - 17

RESULTS

The screenshot shows the AQUATOOL software interface. On the left, a dialog box titled "Graficar" has "Elemento seleccionado: Indicador n.º 1" and "Tipo de gráfico: Total Anual" selected, with "1945 - 1946" in the date range. It also includes "Resultados Numéricos" and "Nuevo para Imprimir Pósteres" checkboxes, and "Aceptar" and "Cancelar" buttons. To the right, several graphs and tables are displayed: "Síntesis: Volúmenes Totales Anuales" (line graph), "Estadística: Volúmenes Estimados en Total" (line graph), "Avance: Total Anual" (line graph), "Conducción: Caudal Medio" (line graph), and a "Resultados Numéricos" table showing monthly discharge volumes (m³/s) from 1945 to 1946. At the bottom, logos for the Instituto de Ingeniería del Agua y Medio Ambiente and Universidad Politécnica de Valencia are present, along with "AQUATOOL-Main - 18".

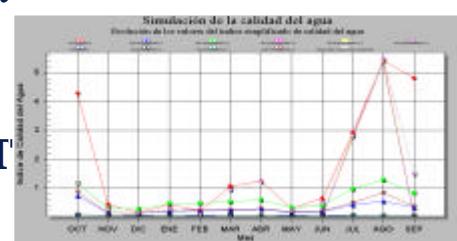
- Results for all model variables either in **graphical or numerical way**. Exporting & printing capabilities. Mean values.
- **Complete reports** (data and results) in files that can be visualized, or printed.
- **Reliability indicators**

WATER QUALITY SIMULATOR

- ABLE TO SIMULATE W.Q. FOR THE ENTIRE SYSTEM (simplified way)

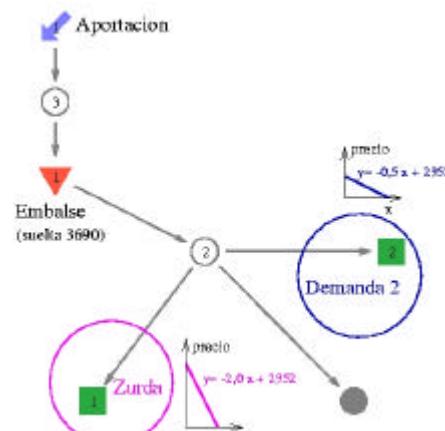
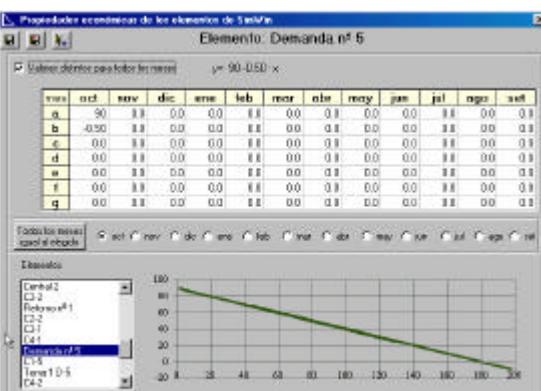


- LINKS TO THE SIMULATION RESULTS
- W.Q. RESULTS USED TO MODIFY CONSTRAINTS IN SIMULATION
- CAN BE USED TO PREDICT THE IMPACT OF CORRECTIVE MEASURES IN AN INTEGRATED WAY AT BASIN SCALE



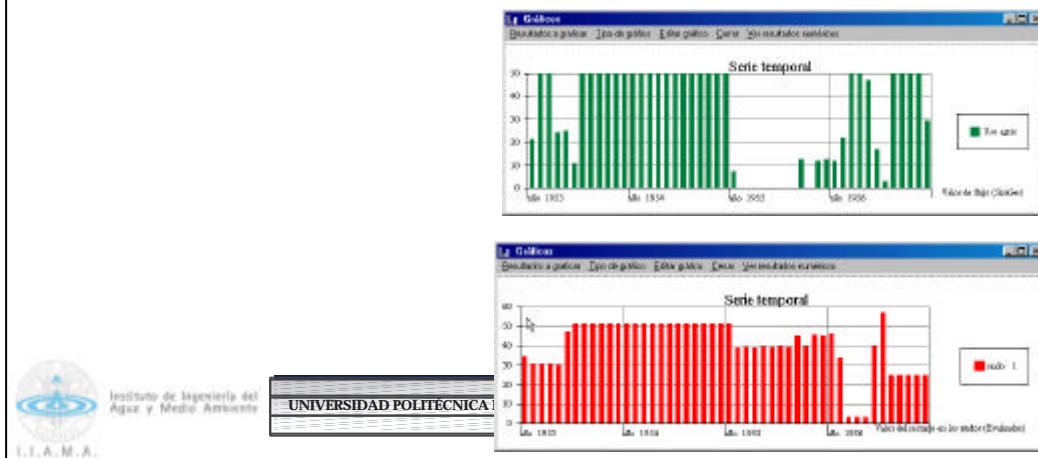
ECONOMIC EVALUATION MODULE

- ABLE TO ASSESS THE ECONOMIC VALUE OF AN ALTERNATIVE



ECONOMIC EVALUATION MODULE

- CAN BE USED AS AN ALTERNATIVE WAY TO ESTIMATE:
 - COST OF THE RESOURCE
 - ENVIRONMENTAL COSTS



- ✓ English manuals

AQUATOOL



OPTIGES



OPTIWIN



SIMGES



SIMWIN



WAM-ME PROJECT



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AQUATOOL-Main - 22

- Management Simulation and/or Optimization runs using **different**:
 - **alternatives (e.g. infrastructure)**
 - **time horizons**
 - **demand scenarios**
 - **hydrological scenarios**
 - **operating policies**
- Planning decisions can be analyzed and **tradeoffs between alternatives can be determined.**



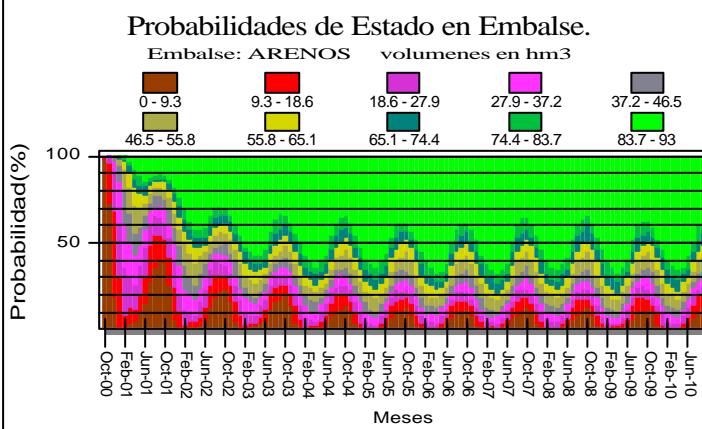
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SINEX - 1

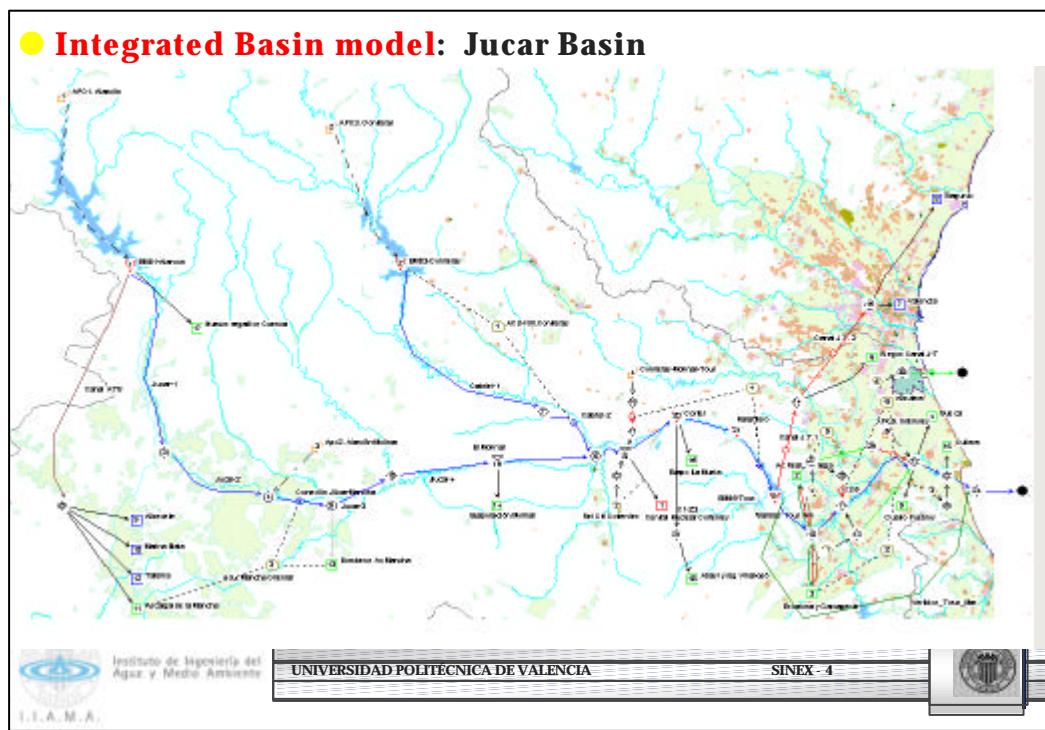
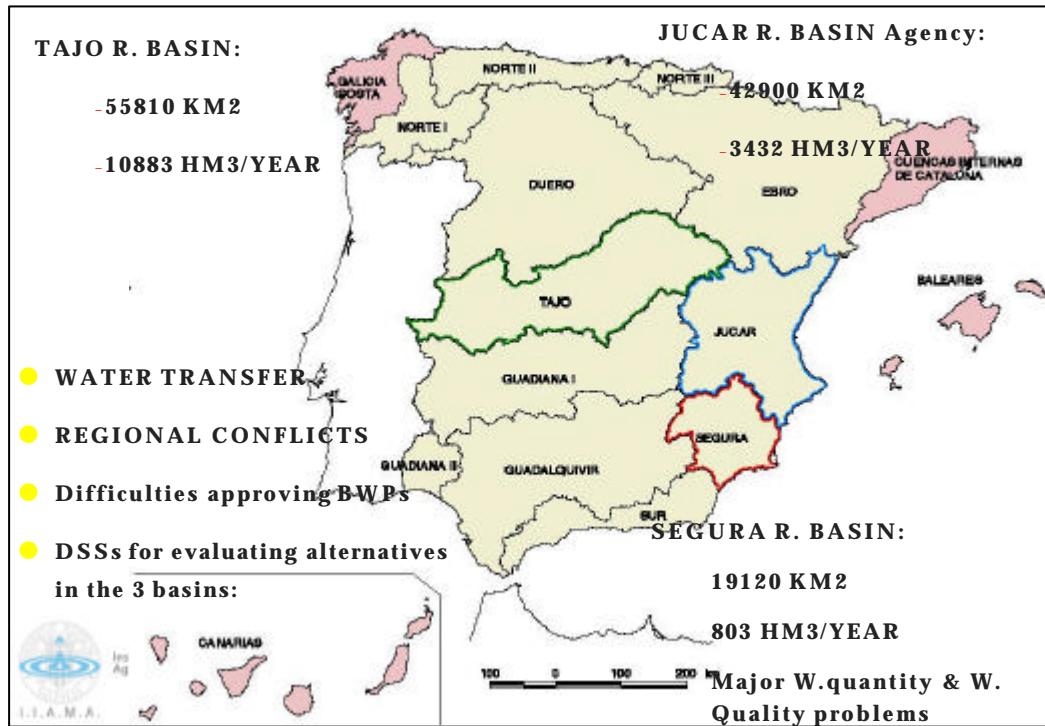
- **Risks associated to planning decisions (design of the system):**

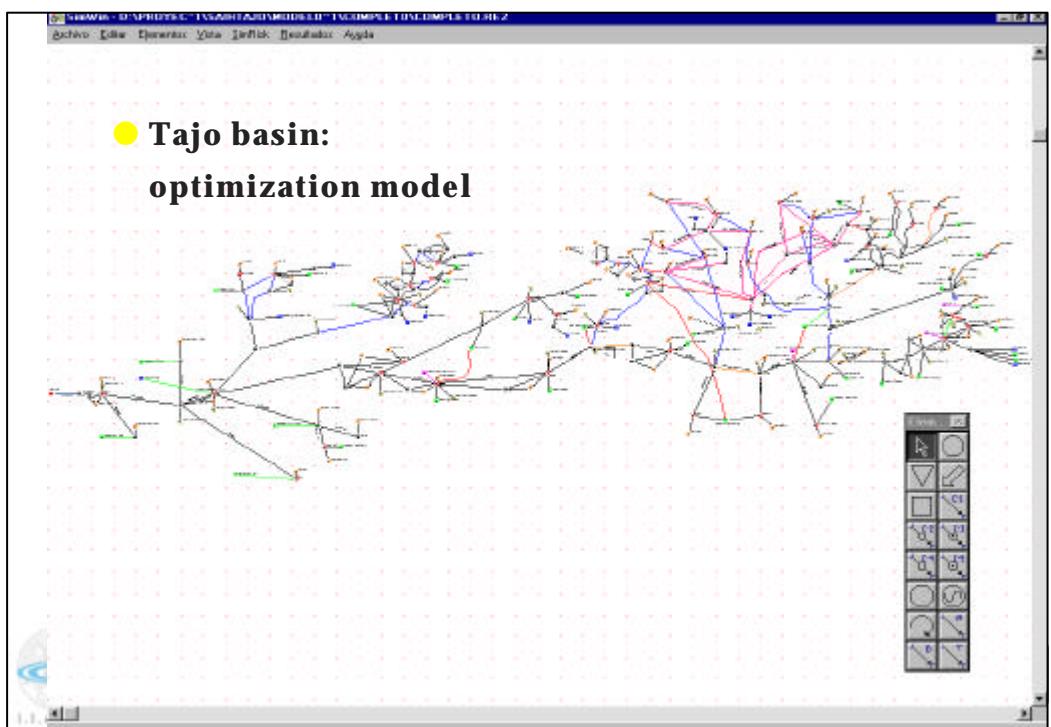
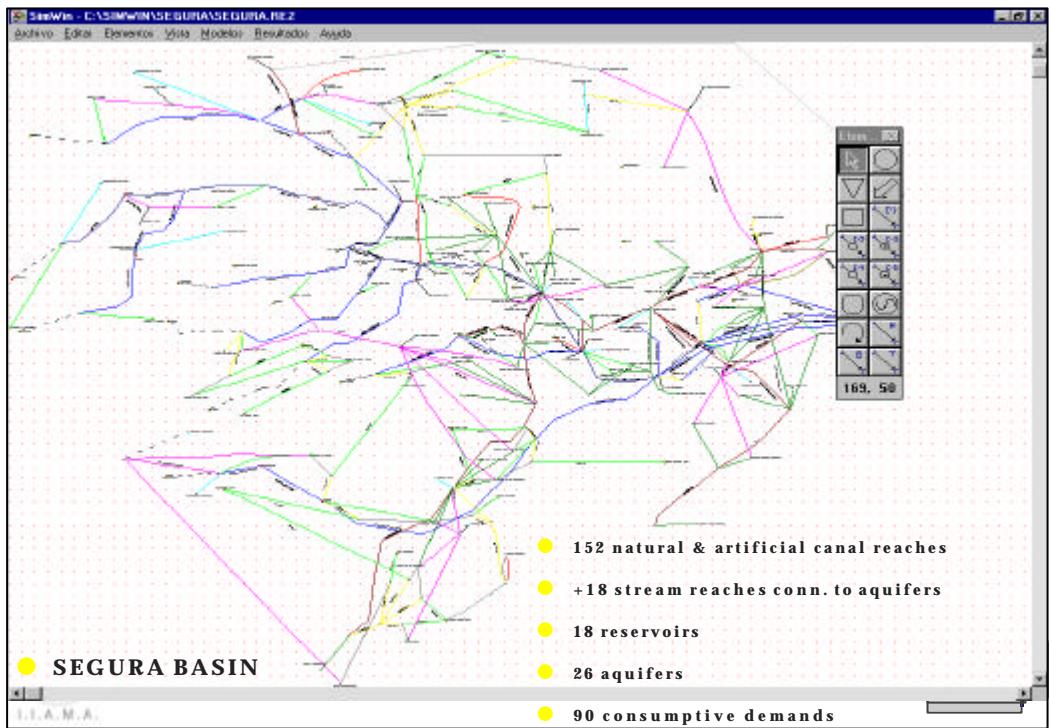
- **unconditioned multiple future hydrological scenarios for very long time horizons**
- **after the effect of initial conditions are dissipated, the probabilities stabilize:**



Long term
measures







RESULTING DSSs used:

- As tools for the **assessment of** alternatives, including **conjunctive use**
- as **shared visions** of the water system in solving conflicts

- BWPs were approved after CONSENSUS was reached based on the results of DSS
- DSSs are **still being used for planning & management issues.**



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SINEX - 7



- ◆ **Also by many other Basin Agencies, scientific institutions, and consulting firms in Spain and abroad**



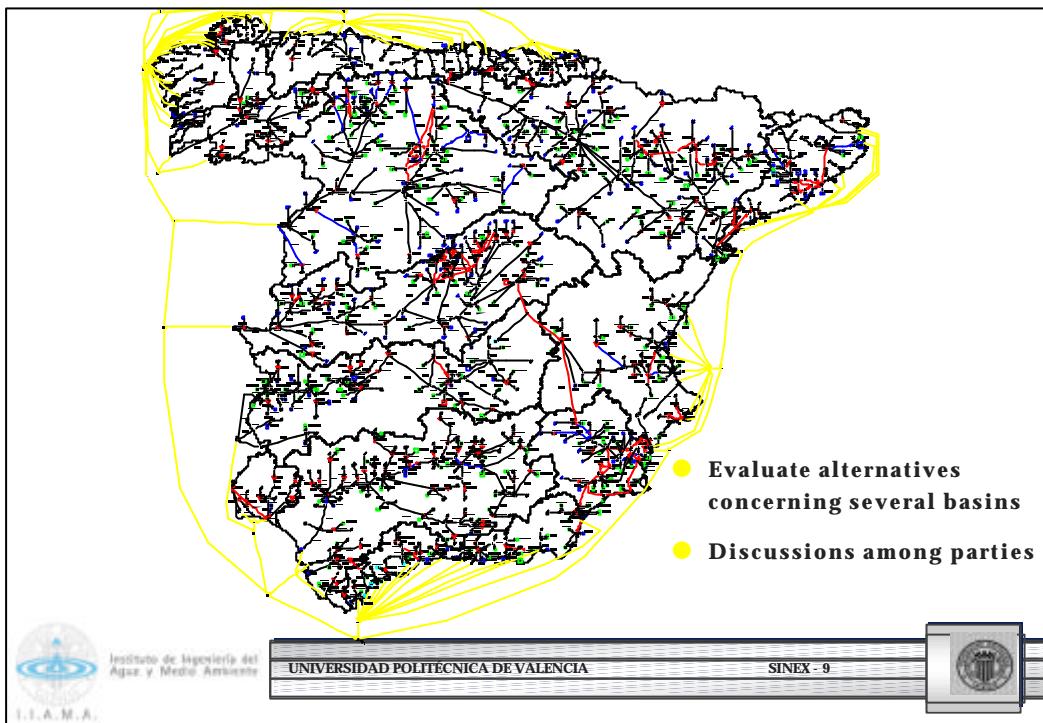
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SINEX - 8





- ◆ In the case of the P.H.N., it was very recommendable to use the Optimization module:
 - ★ No need to specify operating rules, it is possible to concentrate in other aspects (capacity of reservoirs, channels, deficits in the supplies, availability of water for transfer, ...)
 - ★ No need to represent in a very detailed fashion all the basins.
 - ★ Analysis of the W.R.S. separately, and
 - ★ Connected in the National Water System scheme



◆ ... being able to perform easily (thanks to the D.S.S.):

- ★ Sensitivity analysis for
 - ★ design variables
 - ★ demand scenarios
 - ★ infrastructure scenarios
 - ★ hydrological scenarios (e.g. Climatic changes)

◆ ... taking into consideration:

- ★ Physical, legal, & environmental factors, as allowed by the objective function in OPTIGES.



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SINEX - II



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**Use of Aquatool DSS
for real time management and
the estimation of
risks of operational droughts**



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RISK ASSESSMENT



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Potsdam - 13



Real time MANAGEMENT

- ◆ Permanent measures preventing droughts:
 - **Strict control** of the water stored, the supplies and the efficient use of water
 - **Operating procedures** being able to evaluate the possibilities of a drought occurring, or the expected length of an actual drought, with anticipation measures and decisions in order to avoid failures of great intensity.



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KEY POINTS IN DROUGHT MANAGEMENT

- ◆ When to start applying measures? (anticipation horizon)
- ◆ Adequate measures? (e.g. degree of restrictions)
- ◆ What uses have to suffer restrictions? (and different levels for each type of demand)
- ◆ What are the probabilities of future failures as a consequence of the decisions we are taking?



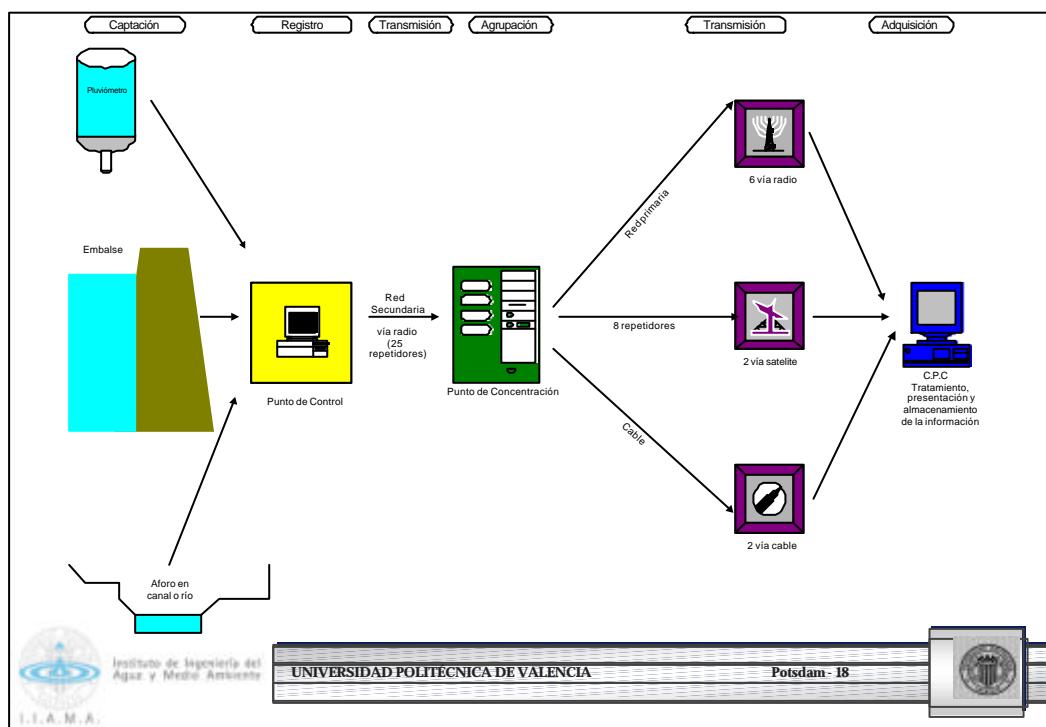
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● Risk of droughts in real time management:

- hydrological scenarios **conditioned** to the hydrological state of the system at present time (i.e., conditioned to recent flows and aquifers state), and of short time horizon (12-24 months, typically in Spain)
- set **initial conditions** of present time (reservoir volumes, flow in streams, state of aquifers, ...).



Data Validation

Caudales Restituidos (Obtenidos del SAIH y restituídos)											
Diferente al del archivo validado											
Incompletos											
Sin datos											
Datos Negativos											
Datos Volumenes (Obtenidos del SAIH)	MES	BURG	PUEN	SANJ	1992	4	39.3	34.1	2.35	1.04	0.32
					1992	5	23.12	27.6	0.41	0.42	0.14
Dato diferente al del archivo validado					1992	6	15.69	17.74	1.73	0.41	0.13
Datos Incompletos					1992	7	13.22	10.77	0.58	0.35	0.06
					1992	8	11.71	9.94	0.33	0.32	0.01
AÑO	MES	BURG	PUEN	SANJ	1992	9	10.39	9.19	0.31	0.29	0.01
1997	10	67.97	3.09	107.68	1992	10	17.77	15.06	3.2	0.54	0.09
1997	11	157.46	3.43	101.81	1992	11	8.86	9.38	0.88	0.26	0.08
1997	12	164.57	3.47	113.23	1992	12	18.05	15.38	3.39	1.02	0.25
1998	1	166.52	3.47	120.65	1993	1	8.78	8.36	0.36	0.28	0.08
1998	2	154.02	3.43	108.24	1993	2	7.92	8.81	1.84	0.36	0.14
1998	3	158.97	3.21	111.7	1993	3	8.8	14.11	3.35	0.93	0.12
1998	4	161.51	3.07	115.83	1993	4	12.49	11.73	9.53	1.11	0.09
1998	5	165.79	3.13	119.17	1993	5	26.39	24.13	13.68	2.86	0.41
1998	6	157.15	3.11	106.92	1993	6	14.7	11.13	4.79	0.75	0.09
1998	7	135.53	2.98	94.95	1993	7	11.08	6.76	0.43	0.34	0.02
1998	8	108.74	3.27	94.75	1993	8	9.83	6.27	0.39	0.31	0
1998	9	96.29	3	95.64	1993	9	8.73	5.81	0.36	0.28	0
1998	10	97.08	3.26	89.19	1993	10	21.396	40.827	9.45		
1998	11	101.35	3.26	89.96	1993	11	30.012	48.535	12.904		
1998	12	106.96	3.31	90.72	1993	12	18.79	24.161	3.023		
1999	1	124.71	3.32	98.87							

AQUATOOL-TAJO DSS: GENERATION OF SCENARIOS

Generation of conditioned scenarios

Generación de escenarios

Conservar escenarios previos
 Generar nuevos escenarios

Opciones

1- Generar escenarios hidrológicos
 2- Obtener escenarios de histórico
 3- Semejante probabilidad de superación
 4- Datos anuales

Solitario Velocidad Usuario

Inicio campaña
 Mes: Abril Año: 2008

Fin campaña
 Mes: Marzo Año: 2002

Nº series a simular: 100

Aceptar Cancelar

Costos previos operaciones

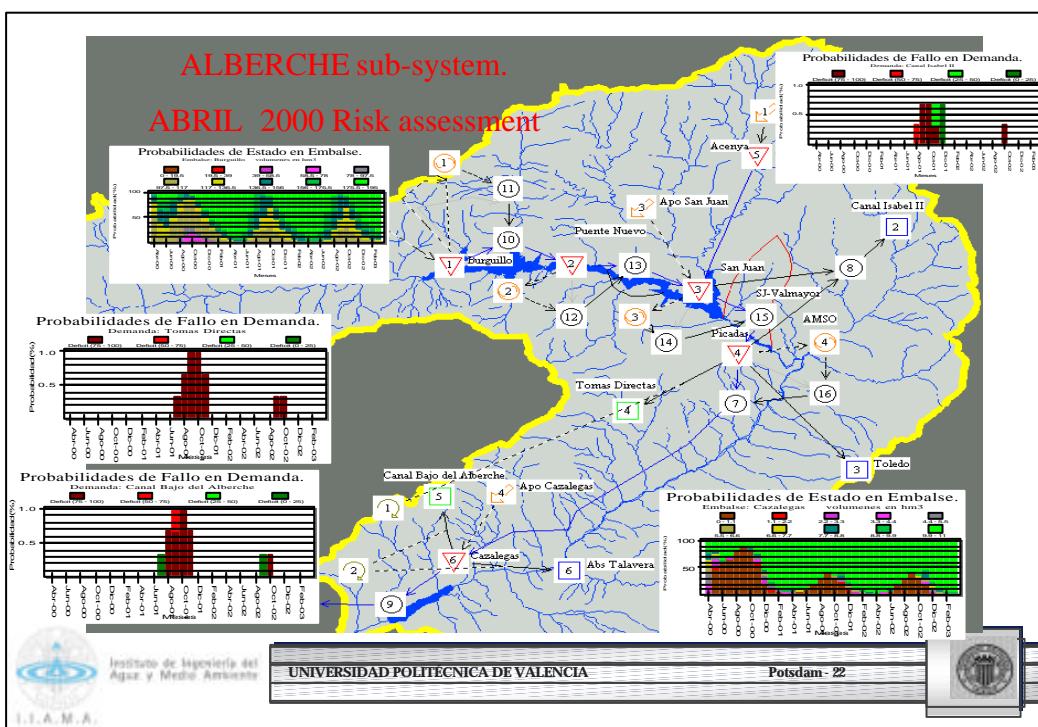
Estación	Costo 1 mes anterior	Costo 2 meses anteriores
BANU	0.571	0.84
GALA	13.32	20.4
JERT	9	13.85
MARVA	0.39	0.82
V.E.R.	10.43	3.44

Inicio campaña
 Mes: Abril Año: 2009

Costo 1 mes anterior: 10.43
 Costo 2 meses anteriores: 3.44

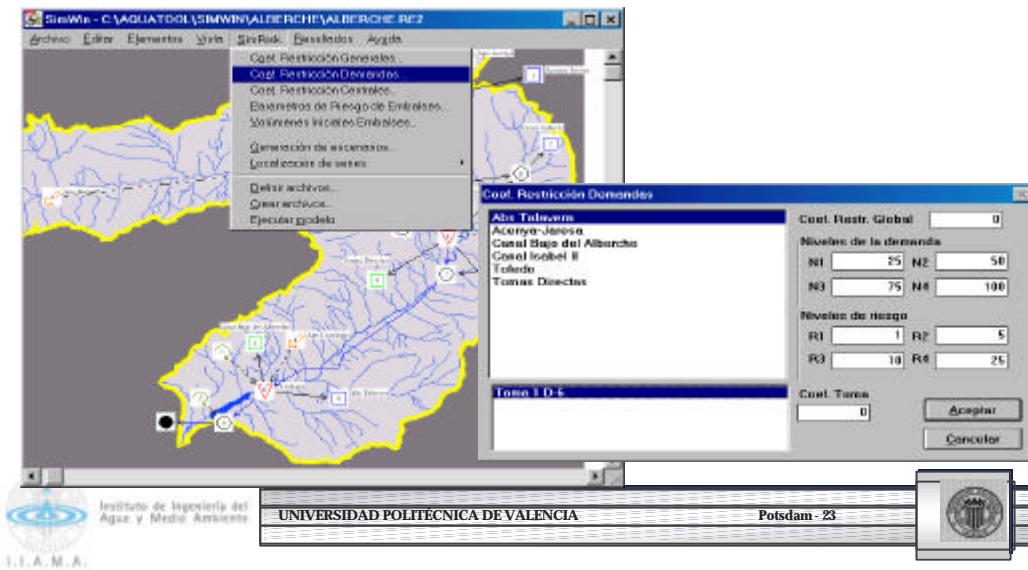
Aceptar Cancelar

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Mitigation measures:

general or selective restrictions
emergency groundwater pumping
emergency connectivity works

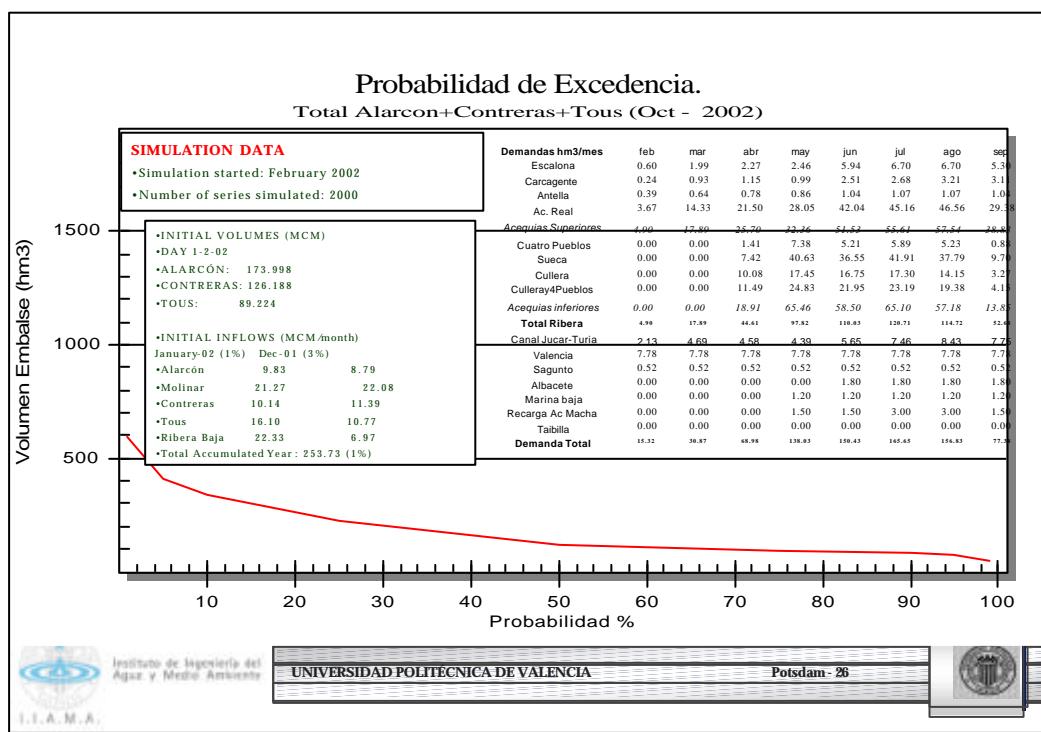
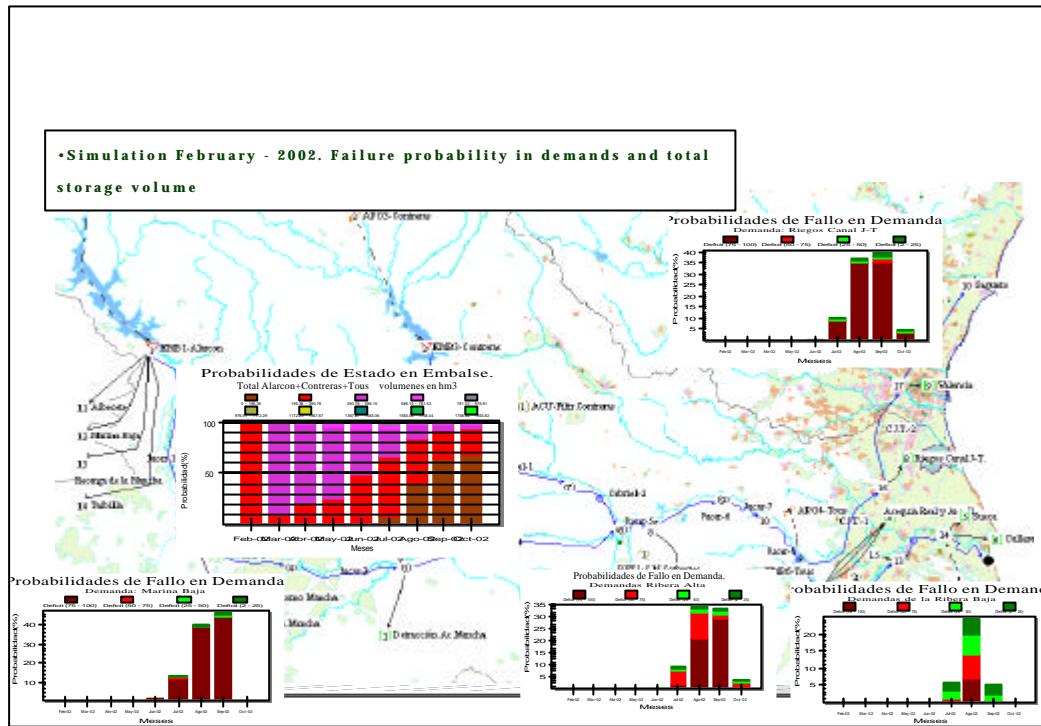


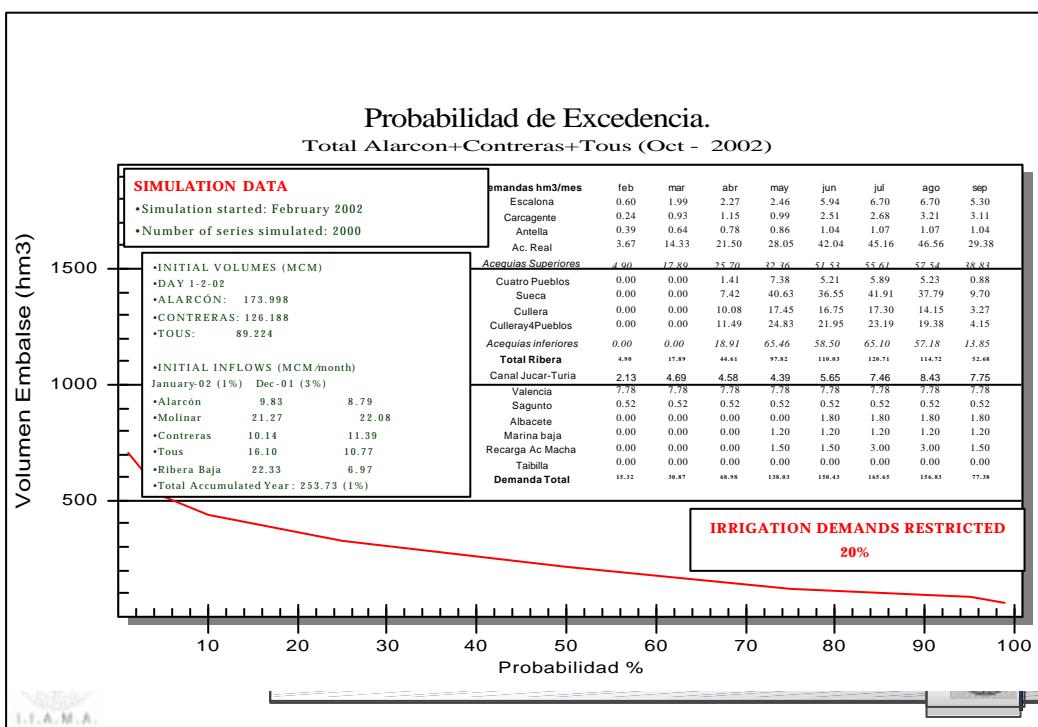
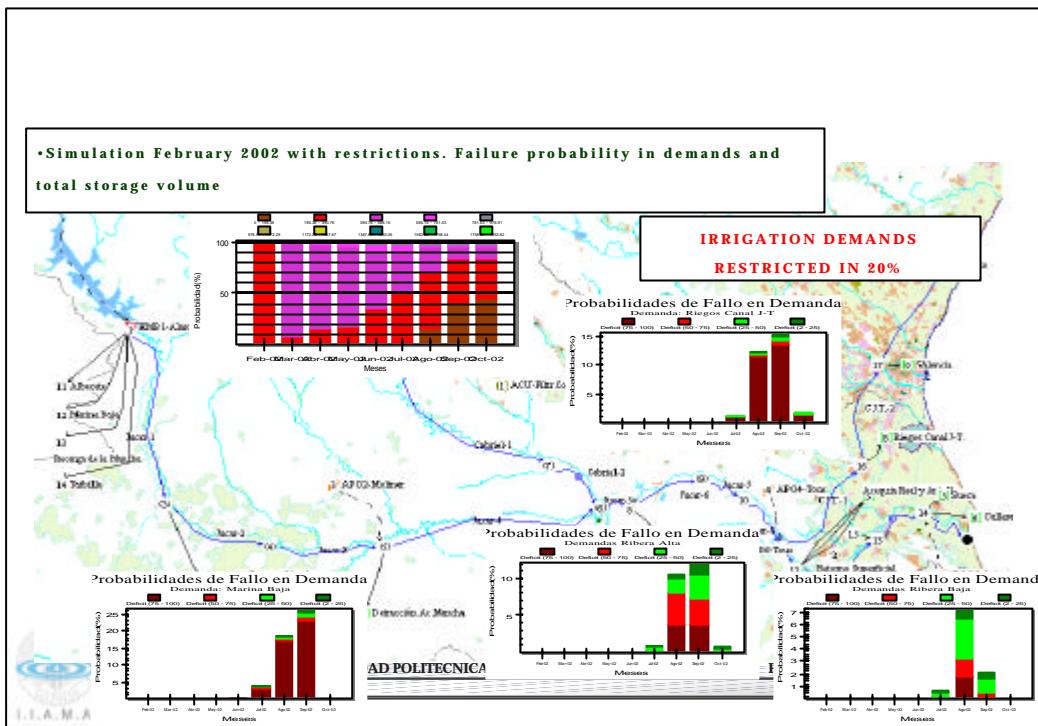
SYSTEM MANAGEMENT UNDER DROUGHT CONDITIONS

AQUATOOL - SIMRISK module for water management based on drought risk

- Applied in all the decision boards for water-releasing in the Júcar basin during the campaign 2001/2002







THE RISK ASSESSMENT APPROACH

- Can be applied directly to any complex system thanks to the models included in the AQUATOOL Decision Support System
- It gives a complete vision of the consequences of the decisions (either management or infrastructure)
- It gives indicators that can be used as early warning system for operative droughts
- It can be directly used by the DM thanks to the DSS
- The DSS can be used as a Shared vision model in conflict discussions.



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GENERAL CONCLUSIONS & COMMENTS



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- ◆ Experiences of application of DSSs in real world w.p.&m. in several places.
- ◆ This DSS development started in 1987 (Ebro). Then: 1988-89 (Segura), 1990 (DSS), 1996-97 (Tajo), 1998-99 (NWP), 1999-2000 (Management modules), 2000-03 (Júcar). Now (Júcar pilot basin):
 - W. Quality module, Economic module, ecological module, ...
- ◆ Also used in Agencia Catalana Agua, Guadiana, Guadalquivir, Mendoza (Argentina), Flumendosa (Sardinia, Italy), Cyprus, ...
- ◆ Gap between WRS analysts or modelers and real world practitioners can be narrowed down trough the use of DSS.
- ◆ Better informed decision making process



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- ◆ having high scientific and technical standards
- ◆ respond to real necessities of the final users
- ◆ has been performed in close cooperation with them.

(In many cases, DSSs are developed by scientists as a kind of academic exercise, and later are presented for use by third parties, discovering that there are substantial differences in problem formulation, data availability and adequacy, and results display and interpretation (for instance, some graphs or figures that are meaningful to the developers, might not be so to the users).



- ◆ Problems have to be solved progressively. Trials to build from the beginning the "total DSS", covering all aspects of watershed management, frequently lead to frustration.
- ◆ Systems with **modular structure**, where each module addresses specific questions and where good coordination between modules is provided, have more probabilities to get successful results.
- ◆ This approach is better accepted by the **final users if they are involved in the development**, because they gain confidence on the tools as they are developed.



- ◆ Decision makers are not reluctant to use advanced technological tools, but they value the **easiness of use** and the **reliable support from the developers**. If DSS are too complex to use and/or the availability of the developers to solve any problem is not assured, then the **confidence of the user** on the system and on its own capability to use it when needed is reduced, restraining its use.



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Valencia
November 2003

Seminario sobre Planificación y D.M.A.

Los sistemas de apoyo a la decisión en la planificación y gestión

by Joaquín Andreu

Water Resources Engineering Research Group
Institute for Water and Environmental Engineering (IIAMA)
Universidad Politécnica de Valencia (SPAIN)

Thank you!

e-mail: ximoand@upv.es

www.upv.es/iiama/

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