



DRAFT DOCUMENT

TARGET ACTION PLAN

- *This is the 1st draft submitted by the TSG Coordinator with preliminary comments from the PFA Coordinator*
- *Improvements regarding the language and the content are needed from the Core Group members, the TSG Coordinator, the TSG members and the Contributors*

6th World Water Forum Template

Target & Solutions Group Session Proposal

This TSG session proposal will be the basis for the allocation of sessions by the IFC Secretariat.

It will also be used for a short publication by the Secretariat's Communication team on the target and its identified solution. The publication will be made available at the 2012 6th World Water Forum.

Please feel free to illustrate your report by using relevant pictures and diagrams.



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Target and Solutions Group “1.5.6”

Session proposal on the target, its action plan, its identified solutions and commitments and the related Forum session



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1. Introduction	X
2. Background and rationale of the target	X
3. Target action plan and commitments	X
4. Solutions	X
5. Recommendations for follow-up	X
6. Conclusion	X
7. Session outline	X



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1. Introduction	X
1 page		
2. Background and rationale of the target	X

STRATEGIC DIRECTION: 1. Ensure everyone’s well-being

PRIORITY for ACTION: 1.5 Contribute to Cooperation and Peace

TARGET: 1.5.6 By 20xx, create sustainable financial mechanisms to finance transboundary water institutions.

Target Rationale

Effective management of transboundary water resources requires functioning regional management institutions or transboundary cooperative frameworks on shared water bodies or aquifers, capable of facilitating cooperation amongst states sharing the water resource and implementation of common strategy and joint management policy. Appropriate financing of transboundary cooperative frameworks and transboundary water management institutions, in particular cost of establishing and maintaining of the institutional arrangements, capacity building, developing a legal framework, management (including monitoring, data sharing and assessment), and supporting implementation of joint programmes to optimize equitable use and protection of the shared water body or aquifer need to be funded on a sustainable basis.

Financing of transboundary water management cooperation varies largely across the globe, utilising mixture of financing tools and mechanisms: from national budgets and externally funded projects to more strategic programmes and funds or private public partnerships.

The level of financing of cooperation varies broadly from one transboundary water body or aquifer to another. The amount of accessible financial resources, chiefly coming from limited available national budgetary resources, in most cases do not meet the financial needs to sustain cooperation on shared water body or aquifer and/or transboundary institution. Therefore, complementary and innovative funding mechanisms need to be added to existing ones, expanding participation and of all stakeholders sharing the common objective of equitable management of the transboundary water resource.

Target Description

Sustainable and financially viable regional institutions or transboundary cooperative frameworks are critical for long-term transboundary cooperation in management of shared water body or aquifer. All traditional and innovative financing mechanisms, e.g. user/polluter-pays principle, financing solidarity, payment for ecosystem services, inter-riparian financing, etc. should be considered as options providing sustainable financing to transboundary

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management institutions or transboundary cooperative frameworks facilitating joint management of shared water body or aquifer and supporting implementation of regionally agreed policies. Many of these more advanced financing mechanisms would require strong political support and establishment or strengthening complex institutional structures.

Adding new complementary funding systems into the existing and designed funding schemes of transboundary management institutions is a complicated process depending of right mix of tools and effective policies at national and regional levels, including understanding and support of all shared basin or aquifer stakeholders. Championing of these new approaches in number of targeted international institutions would have multiplication effect on other less mature organizations or transboundary cooperative frameworks as well as allow to document, analyse and broadly disseminate success stories and lessons learned across 270+ transboundary river and lake basins and 200+ transboundary aquifers.

In many parts of the world, the financial needs of existing and future transboundary institutions are well beyond the capacities of countries and national authorities involved. To overcome these regional financial barriers to sustainable financing of those institutions, higher level of support focused on transboundary basins and aquifers management institutions would be facilitated through achieving this target.

Broader recognition and understanding of the role and responsibilities of national water authorities and institutions in long-term cooperation on shared water bodies, their technical and financial capacities to actively participate and contribute to sound and sustainable management of transboundary water resource is one of the critical conditions for stable institutions and cooperation.

2-3 pages

3. Target action plan and commitments X

STRATEGIC DIRECTION: 1. Ensure everyone's well-being

PRIORITY for ACTION: 1.5 Contribute to Cooperation and Peace

TARGET: 1.5.6 By 20xx, create sustainable financial mechanisms to finance transboundary water institutions

	<u>IMPLEMENTATION OF THE TARGET</u> Expected results & Indicators of Success	<u>PRACTICAL STEPS</u> Activities to be done/	<u>RESPONSIBLE PARTIES</u>		<u>KEY-REQUIREMENTS/ ASUMPTIONS</u>	<u>ESTIMATED BUDGET</u> (1k€, 10k€, 100k€, 1m€, 1b€) & potential sources of funding
			Lead institution	Main partners		
<u>Milestone 1:</u> By 2015, at least double support to institutions helping to manage transboundary basins and aquifers compared to the last 4 years						

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			Lead institution	Main partners		
July 2011	Basic Framework for Target development defined	<ul style="list-style-type: none"> - Constitute the TSG - Develop first draft of Target Action Plan 	GEF	TSG members		
August 2011 (Stockholm Water Week)	<p>Identification of potential partners in achieving the target</p> <p>Draft Target Action Plan refined and updated</p>	<ul style="list-style-type: none"> - Target 6 TSG meeting to review and update target and action plan to discuss additional contributions - Solicitation of solutions at the Forum Solution Fair - Target introduced and discussed with potential donors and represented IFIs 				

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			Lead institution	Main partners		
October 2011	<p>Initial collection of innovative financing tools and mechanisms in use in transboundary water cooperation collected at the 6th Biennial GEF IW conference</p> <p>Agreed criteria for selection of case studies and successful examples of use of financial tools and mechanisms advising on target solutions</p>	<ul style="list-style-type: none"> - Special session dedicated to sustainable financing of transboundary water institutions - Discussion on innovative financing tools and mechanisms in TB cooperation - TSG discussed and agreed upon set of criteria for case studies and solutions selection 				
December 2011	<p>Inventory of traditional and innovative tools and mechanisms</p> <p>Draft of set of traditional and innovative solutions responding to the target identified and agreed to be presented to the Forum</p>	<ul style="list-style-type: none"> - Case studies collected and reviewed - TSG identified successful solutions according to agreed criteria based on target relevance 				

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			Lead institution	Main partners		
<u>Milestone 2:</u> By 2015, xx transboundary institutions introduce complementary funding systems, based on traditional and innovative financial mechanisms						
January 2012	Design Forum Target break-out session Preliminary list of transboundary institutions and donors volunteering to pilot complementary funding systems	<ul style="list-style-type: none"> - Final list of case studies to be presented agreed - Preliminary list of speakers developed - Key session messages proposed - Proposals from partners collected by the TSG 				

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			Lead institution	Main partners		
March 2012 (6th World Water Forum)	<p>Target session</p> <p>Target is refined and/or endorsed at the World Water Forum</p> <p>Countries, transboundary water institutions and donors encouraged to apply solutions presented at the Forum</p>	<ul style="list-style-type: none"> - Present and discuss the target and action plan during a break-out session - Present and debate the solutions - Commitments from donors to support complementary innovative financing tools and mechanisms - Commitments from countries and transboundary water institutions to introduce complementary funding systems 				

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			Lead institution	Main partners		
2012-2015	Develop a framework for promoting and disseminating experiences and best practices in sustainable financing of transboundary water institutions	<ul style="list-style-type: none"> - Agreement amongst partners on the objective and format of such framework - Establish partnership with existing KM tools and mechanisms (e.g. GEF IW:Learn) - 				
<u>Milestone 3:</u> By 20xx, xxx transboundary water management institutions have jointly adopted strategy or action plan to achieve financial sustainability						

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			Lead institution	Main partners		
2015-20xx	Recognition by national water authorities, governments and donors that sustainable financing of transboundary basins and aquifers institutions requires financial and technical support from national water authorities and institutions					

4-5 pages

4. **Solutions** X

Synthesis note on promising solutions gathered

No solutions gathered so far through the Platform. However, Niger Basin Authority have submitted one innovative solution on use of political approach to achieve sustainable funding mechanism for key functions of the NBA through growing "basin public service " missions that are by nature meant to be sustainable: planning and coordination of large dams projects, monitoring and hydrological forecasting, water allocation, environmental monitoring. Number of partners in the network e.g. ICPDR, Lake Victoria Commission, Sava Commission, IW:Learn, etc. are still being encouraged to propose more solutions based on their successful experience.

5-7 pages

5. **Recommendations for follow-up** X

This section should outline the TSG's key recommendations for the follow-up and monitoring of both the target and the implementation of promising solutions.

3-4 pages

6. **Conclusion** X

1 page

7. **Session outline** X

See following template

Total: 16-21 pages maximum + session outline

Session Outline Form

- The IFC Secretariat will allocate the session dates and times on the basis of the Forum Week Programme.

Part 1:

Reference number	1.5.6.
Target(s)/PFA/CS/Region	By 20xx, create sustainable financial mechanisms to finance transboundary water institutions

<p>Session title <i>(to be published on the programme, please kindly use media-friendly language)</i></p>	<p>Sustainable Financing of Transboundary Water Management Institutions</p>	
<p>Session teaser/description <i>(150 words, please kindly use media-friendly language)</i></p>	<p>The session will showcase experience and functioning models of traditional and innovative financing mechanisms, e.g. user/polluter-pays principle, financing solidarity, payment for ecosystem services, inter-riparian financing, as options providing sustainable financing to transboundary management institutions or transboundary cooperative frameworks facilitating joint management of shared water body or aquifer and supporting implementation of regionally agreed policies.. Participants will have opportunity to discuss with authors of case studies illustrating this target their findings and recommendations. Which are the conditions, which will allow and foster to use these more advanced financing mechanisms to support and establish or strengthening complex institutional structures?</p>	
<p>Duration</p>	<p>Unless they are combined with other sessions, all sessions are 2-hour long</p>	
<p>Contact details of the Coordinator(s) convening the session</p>	<p>Mr. Ivan Zavadsky, GEF Secretariat, izavadsky@thegef.org</p>	
<p>Objectives and outputs</p>	<p><u>General objectives of the session</u></p>	<p><u>Expected outputs</u></p> <p><input type="checkbox"/> Finalise the Target Action Plan</p>

	- -	<input type="checkbox"/> Share promising solutions <input type="checkbox"/> Work on commitments <input type="checkbox"/> Enrich the report and its conclusions <input type="checkbox"/> Other, please specify:	
Format/Logistics request <i>(please note that room settings that are not standard cinema seating reduce the overall number of seats available in the room)</i>	<u>Estimated number of seats needed</u> <input type="checkbox"/> Less than 200- Yes <input type="checkbox"/> 200-400	<u>Preferred room set-up (not guaranteed by the IFC)</u> <input type="checkbox"/> Oval/Square seating <input type="checkbox"/> Roundtable seating <input type="checkbox"/> Standard cinema seating - Yes	<u>All rooms will be equipped with:</u> - a computer - a video projector - a screen - 2 microphones - simultaneous translation in French and English -
Extra requirements for innovative sessions (professional facilitation, additional technical requirements, etc)	No extra requirements		

Part 2:

Secretariat recommendations	<ul style="list-style-type: none"> - At least 50% of your session's time should be devoted to interactive and fruitful exchanges with the audience - You are kindly encouraged to include a political representative and representatives of donors and NGOs as speakers in your session 		
Session plan detailing its schedule	<u>Time</u>	<u>Descriptions of items/presentations</u>	<u>Confirmed speakers</u>
	-	-	-
	-	-	-
	-	-	
	-	-	
	-	-	
	-	-	
	-	-	
Targeted audience in view of commitments			
Other Information <i>(if you have additional information or special requests about your session)</i>			